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**Morphology, Intensity, and Rainfall Production of MJO Convection:
Observations from DYNAMO Shipborne Radar and TRMM**

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25 ABSTRACT

26 This study uses DYNAMO shipborne (R/V Revelle) radar and TRMM Precipitation
27 Radar (PR) datasets to investigate MJO-associated convective systems in specific organizational
28 modes (MCS vs. sub-MCS, linear vs. non-linear). The Revelle radar sampled many
29 “climatological” aspects of MJO convection as indicated by comparison with the long-term
30 TRMM PR statistics, including areal mean rainfall (6-7 mm d⁻¹), convective intensity, rainfall
31 contributions from different morphologies, and their variations with MJO phase. Non-linear sub-
32 MCSs were present 70% of the time but contributed just ~20% of the total rainfall. In contrast,
33 linear and non-linear MCSs were present 10% of the time, but contributed 20% and 50%,
34 respectively. These distributions vary with MJO phase, with the largest sub-MCS rainfall
35 fraction in suppressed phases (phases 5-7) and maximum MCS precipitation in active phases
36 (phases 2-3). Similarly, convective/stratiform rainfall fractions also varied significantly with
37 MJO phase, with the highest convective fractions (70-80%) in suppressed phases and the largest
38 stratiform fraction (40-50%) in active phases. However, there are also discrepancies between the
39 Revelle radar and TRMM PR. Revelle radar data indicated a mean convective rain fraction of
40 70% compared to 55% for TRMM PR. We show this difference is attributed to the reduced
41 resolution of the TRMM PR compared to the ship radar. There are also notable differences in the
42 rainfall contributions as a function of convective intensity between the Revelle radar and TRMM
43 PR. In addition, TRMM PR composites indicate linear-MCS rainfall increases after MJO onset
44 and produces similar rainfall contributions to non-linear MCSs; however, the Revelle radar
45 statistics show the clear dominance of non-linear MCS rainfall.

46

47

48 **1. Introduction**

49 The Madden-Julian Oscillation (MJO) (Madden and Julian 1971, 1972) is the most
50 remarkable intraseasonal concept in the tropics. The MJO has broad impacts on the global
51 weather and climate (Lau and Waliser 2005; Zhang 2005; Zhang 2013) such as monsoon onset
52 and rainfall variability (Lau and Chan 1986; Hendon and Liebmann 1990; Lawrence and
53 Webster 2002), tropical cyclone frequency (Liebmann et al. 1994; Maloney and Hartmann
54 2000), tornado outbreaks (Thompson and Roundy 2013), ENSO (Zhang 2005; Lau 2012), and
55 extratropical climate modes (Lin et al. 2009; L’Herueux and Higgins 2008). Despite decades of
56 study, the MJO is not well understood and therefore MJO prediction skill is limited, especially
57 concerning initiation over the Indian Ocean (Bechtold et al. 2008; Kim et al. 2009; Vitart and
58 Molteni 2010). Meanwhile, the MJO has been poorly simulated by several generations of general
59 circulation models (GCMs) (Lin et al. 2006; Hung et al. 2013). To advance understanding of the
60 oceanic and atmospheric processes governing MJO initiation, the Dynamics of the Madden-
61 Julian Oscillation (DYNAMO) field campaign was carried out in the central Indian Ocean (CIO)
62 region during boreal fall and winter of 2011/2012 (Yoneyama et al. 2013). DYNAMO deployed
63 sounding networks, radars across a spectrum of wavelengths, aircraft, oceanographic
64 instrumentation, and enhanced moorings (Yoneyama et al. 2013). One of the key DYNAMO
65 hypotheses is that specific convective populations are essential to MJO initiation. DYNAMO is
66 well suited for testing this hypothesis through providing continuous three-dimensional
67 measurements of convective clouds, both precipitating and non-precipitating.

68 The DYNAMO field campaign observed three MJO events during the October 2011 –
69 March 2012 time period (Yoneyama et al. 2013; Gottschalck et al. 2014). Observations from

70 multi-wavelength and multi-platform (ground-based, shipborne, and airborne) radars have been
71 used to investigate the full spectrum of convective clouds during these MJO events. Feng et al.
72 (2014) constructed a merged cloud-precipitation radar dataset from three radars (K_a , C and S-
73 band) deployed on Addu Atoll (Gan Is., Maldives) to document both precipitating (i.e., shallow,
74 congestus, and deep convective clouds) and non-precipitating clouds (i.e., mid-level, cirrus, and
75 anvil clouds). This dataset, together with radiosonde data, can effectively serve to study the role
76 of shallow and congestus clouds in the initiation of the MJO (Feng et al. 2014). Based on S-band
77 (S-pol) radar measurements collected during DYNAMO, Zuluaga and Houze (2013) found that
78 rainfall in MJO active periods was intermittent and occurred in episodes lasting 2-4 days. They
79 examined the convective population within these rainfall episodes, and showed that shallow
80 convective echoes (SCE) and narrow deep convective cores (DCC), wide convective cores
81 (WCC), and broad stratiform (BSR) systems were the most frequent prior to, during, and after
82 the maximum rainfall, respectively. It is interesting that this convective transition pattern on the
83 scale of 2-4 days is actually similar to that on the MJO scale observed by satellite remote sensing
84 (Morita et al. 2006; Tromeur and Rossow 2010; Riley et al. 2011) and shipborne radar in
85 DYNAMO (Xu and Rutledge 2014). In addition, convective systems in various stages of the
86 MJO also exhibit different microphysical properties (Rowe and Houze 2014). Using the S-pol
87 radar data, Powell and Houze (2014) found that precipitation area, radar echo-top height, and
88 tropospheric humidity rapidly increase over ~3-7 days prior to the MJO onset. Based on
89 shipborne radar measurements in DYNAMO, Xu and Rutledge (2014, hereafter XR14)
90 documented a longer period of convective deepening prior to MJO onset, about 10-15 days. This
91 longer convective deepening period is consistent with atmospheric moistening time scales
92 inferred from the DYNAMO sounding network (Johnson and Ciesielski 2013). XR14 further

93 composited the ship-based radar data as a function of MJO phase and identified strong
94 correlations between convective populations and environmental conditions, both evolving along
95 the “recharge”-“discharge” process (Blade and Hartmann 1993; Hu and Randall 1994; Kembball-
96 Cook and Weare 2001). The precipitating cloud population consists of shallow isolated
97 convective cells in suppressed phases, isolated deep convective systems two phases prior to MJO
98 onset, deep organized MCSs in active MJO phases, and stratiform-dominant systems in decaying
99 phases. Guy and Jorgensen (2014) also found similar shallow-to-deep-to-stratiform evolution of
100 convective systems from analysis of airborne Doppler radar observations of the November MJO
101 event during DYNAMO.

102 Although the above studies have extensively examined the convective and
103 microphysical properties of convective clouds across the MJO life cycle, their convective
104 organization and precipitation morphology (e.g., linear or non-linear, MCS or sub-MCS) have
105 not been quantified. Convective organization has important effects on heating distributions,
106 momentum transport, and surface fluxes. For example, squall lines accompanied by extensive
107 stratiform precipitation have very different heating profiles from convective-only systems, as the
108 corresponding latent heating profiles for the convective and stratiform components are distinctly
109 different (Johnson 1984; Houze 1989; Tao et al. 1993). Previous studies have shown that
110 convective momentum transport is a function of system organization, with up-gradient transport
111 in the line-normal direction and down-gradient transport in the line-parallel direction (LeMone
112 1983; LeMone et al. 1984; Wu and Yanai 1994; Tung and Yanai 2002). Convection without
113 linear organization does not show consistent momentum transport properties (LeMone 1983;
114 LeMone et al. 1984). Furthermore, surface flux enhancement was observed to be much stronger
115 in highly organized convective systems when linear squall lines proceeded a region of extensive

116 stratiform precipitation (Saxen and Rutledge 1998).

117 Various organizational modes of tropical convection can occur under different
118 environmental conditions, predominantly influenced by vertical shear and convective available
119 potential energy (CAPE) (Moncrieff and Green 1972; Keenan and Carbone 1992; Lemone et al.,
120 1998; Johnson et al. 2005). These studies found that vertical shear in the lower-to-middle
121 troposphere is key to the orientation of convective lines while CAPE determines convective
122 intensity and longevity. Convective systems can take on different morphologies, such as linear
123 convection in the form of a leading convective line with trailing stratiform precipitation (e.g.,
124 Houze, 1977; Zipser, 1977) or randomly distributed convective cells. There are many studies on
125 the convective organization and environmental characteristics in the literature, based on
126 observations from the GARP Atlantic Tropical Experiment (GATE, Cheng and Houze 1979;
127 Barnes and Seickman 1984; Szoke and Zipser 1986), the Tropical Ocean Global Atmosphere
128 Coupled Ocean-Atmosphere Response Experiment (TOGA-COARE, LeMone 1983; LeMone et
129 al. 1998; Rickenbach and Rutledge 1998), the South China Sea Monsoon Experiment (Johnson
130 et al. 2005), the Equatorial Mesoscale Experiment (Alexander and Young 1992), and
131 experiments in Northern Australia (Keenan and Carbone 1992; Keenan and Rutledge 1993).
132 Recently, Liu and Zipser (2013) constructed a climatology of MCS morphology in the tropics
133 and subtropics using 14 years of Tropical Rainfall Measuring Mission (TRMM) measurements.
134 However, there are very limited studies focusing on the morphology of the MJO convection over
135 the CIO where most MJOs initiate.

136 Zuluaga and Houze (2013) have examined the frequency of convective systems
137 during the MJO active periods during DYNAMO with the organization of SCE, DCC, WCC, and
138 BSR (previously defined). Guy and Jorgensen (2014) have investigated the convective

139 organization and dynamics using airborne radar data collected from the NOAA P-3 aircraft
140 deployed in DYNAMO. They found that DYNAMO MCSs were organized more parallel to the
141 low-level shear and produced weaker cold pools due to weaker updrafts compared to MCSs
142 observed in TOGA-COARE. However, the NOAA P-3 data were only available for a single
143 MJO event (November) and nine separate flight episodes, therefore samples of convection were
144 relatively limited (Guy and Jorgensen 2014). Despite this research, outstanding questions remain
145 regarding convective organization and precipitation morphology over the CIO. For example the
146 relative frequency of non-MCS versus MCS scale systems has not been quantified. Nor has the
147 degree of convective organization (linear versus non-linear). Nor has the rainfall production by
148 various convective modes. Collectively, the variability of these characteristics with MJO phase
149 has also not been quantified. This study seeks to contribute knowledge in these areas. In addition,
150 the DYNAMO-based analyses are placed in the context of the long-term satellite (i.e., TRMM)
151 climatology. This study first describes a time series of precipitation morphology based on
152 analysis of DYNAMO shipborne C-band radar measurements collected from the R/V *Roger*
153 *Revelle*. DYNAMO observations are composited as a function of MJO phase, and compared in
154 detail to the convective climatology based on TRMM PR data.

155

156 **2. Data and Methodology**

157 This study employs 15 years (1998-2012) of TRMM precipitation feature (PF) data
158 (Liu et al. 2008) and 3 months (Oct-Dec, 2011) of radar observations collected from the research
159 vessel (R/V) *Roger Revelle* deployed during DYNAMO (Moum et al. 2014). The long-term
160 TRMM PR statistics cover the main MJO time period (October to April) over a $10^{\circ}\times 10^{\circ}$ box

161 centered on the DYNAMO array ($75^{\circ} - 85^{\circ} \text{ E}$; $5^{\circ} \text{ S} - 5^{\circ} \text{ N}$). DYNAMO radar measurements are
162 compared to the TRMM PR climatology from various perspectives, including convective
163 organization, intensity, and rainfall production as a function of MJO phase.

164

165 *a. TRMM data*

166 1) TRMM 3B42 rainfall product

167 The version 7 TRMM Multisatellite Precipitation Analysis (TMPA) 3B42 rain product
168 (Huffman et al. 2007) is used to provide continuous rainfall time series and large-scale rainfall
169 maps, since the TRMM satellite data are only available twice a day. The TMPA 3B42 data are
170 available from 1998 to present, from 50 S to 50 N. This dataset has 3-hour temporal resolution
171 and 0.25° spatial resolution, and uses TRMM PR observations, passive-microwave measurements
172 from low-earth orbit satellites, infrared radiance measurements from geostationary satellites, as
173 well as rain gauge data when available.

174

175 2) TRMM PF dataset

176 We use the version 7 TRMM database, mainly observations from the precipitation radar
177 (PR) (Kummerow et al. 1998), to provide climatological context for the DYNAMO ship radar
178 data. PR measurements have been grouped into precipitation features (PFs) at the University of
179 Utah (Liu et al. 2008). By definition, PFs are identified as PR-derived near-surface raining
180 clusters (or continuous radar pixels). The minimum detectable reflectivity of the PR is 17 dBZ
181 (Kummerow et al. 1998), which therefore defines the echo boundary of PFs (Fig. 1a). After a PF
182 is identified, three-dimensional PR observations within the column are grouped into the PF,
183 including such variables as maximum 20/30/40 dBZ echo-top heights, rainfall volume (2A25,

184 Iguchi et al. 2009), convective and stratiform precipitation fractions (2A23, Awaka et al. 2009),
185 and the overall precipitation area of the PF. Since the horizontal resolution of the PR is 4 km
186 prior to orbit boost (August, 2001) and 4.5 km after boost, this study includes only PFs greater
187 than 100 km² (4-5 TRMM PR pixels).

188

189 *b. DYNAMO R/V Reville dataset*

190 The R/V *Roger Reville* (Moum et al. 2014) was deployed at the central east site (0 N,
191 80.5 E) of the DYNAMO sounding array over the CIO (Yoneyama et al. 2013). During
192 DYNAMO, R/V *Reville* made four cruises to the campaign area (Xu and Rutledge 2014) from
193 September 2011 to January 2012. This study only analyzes measurements taken from cruises 2,
194 3, and 4 during which time the DYNAMO observations were extensive and MJO events were
195 sampled (Yoneyama et al. 2013). Data are not included when the ship was transiting to/from
196 port, or otherwise off station. The vacancy of the *Reville* had little influence on our results, since
197 (fortunately) most of the missing data periods occurred during suppressed MJO phases with little
198 if any rainfall. TRMM 3B42 rainfall time series over our analysis period are virtually identical,
199 when including and excluding data during the off station periods (XR14).

200 1) Radar data

201 The NASA TOGA C-band radar onboard the R/V *Reville* (hereafter called the Reville
202 radar) operated round the clock when the ship was on station (XR14). The Reville radar
203 completed a full volume scan every 10 minutes including 22 360° PPI (plan position indicator)
204 sweeps and 5 vertical cross sections (RHI, range height indicator). This particular scanning
205 strategy was set to provide continuous volume coverage and high-resolution 3-D precipitating

206 cloud structure information (XR14). The Reville radar data were calibrated and quality
207 controlled by the Radar Meteorology Group at Colorado State University and NASA (details in
208 XR14). After quality control, radar polar coordinate data were interpolated to Cartesian
209 coordinates using the NCAR REORDER software package (Oye and Case 1995), with the
210 resolution of 2 km in the horizontal and 0.5 km in the vertical (XR14). Radar reflectivity was
211 then classified into convective and stratiform precipitation components based on Steiner et al.
212 (1995). This algorithm only considers the horizontal texture of the radar reflectivity. Note that
213 TRMM PR (2A23, Awaka et al. 2009) uses a somewhat more complicated technique that
214 considers both horizontal and vertical gradients of reflectivity, as well as the existence of a
215 reflectivity bright band. The Z-R relationship for convective rain is $Z=134R^{1.44}$. For stratiform
216 rain, $Z=300R^{1.55}$ is used. These Z-R relationships (as well as the C-band attenuation correction
217 algorithm) were derived from drop size distribution measurement on Gan Is. (DYNAMO) and
218 Manus Is. in the western Pacific (Thompson et al. 2014). Echo top heights of specific echo
219 intensities (e.g., 0 and 20 dBZ) are obtained by finding the maximum height of that value in the
220 particular grid point column.

221 2) Radar-based PFs

222 The echo object identification method used in the TRMM PF (Nesbitt et al. 2000; Liu et
223 al. 2008) study was applied to the entire Reville radar dataset. Specifically, radar PFs are defined
224 as contiguous radar pixels exceeding the minimum TRMM PR detectable reflectivity (17 dBZ) at
225 2-km altitude (Fig. 1b). As a particular example, the Reville radar observed nearly the same PF
226 pattern as the TRMM PR (Fig. 1a-b). The three-dimensional radar measurements within the
227 column are grouped into the PF. Therefore, radar PFs contain parameters such as maximum
228 height of specific radar reflectivities (e.g. 20 or 30 dBZ), feature size (area of pixels > 20 dBZ),

229 as well as intensity, area, and volume of convective and stratiform precipitation. Again, radar
230 PFs smaller than 100 km^2 (same rule applied to TRMM PFs) were not considered for analysis.

231

232 *c. PF morphologies*

233 The goal of this study is to quantify the frequency, intensity, and rainfall contributions
234 of the MJO associated convective systems as a function of convective organization
235 (morphology). Rickenbach and Rutledge (1998, hereafter RR98) described the morphology of
236 convection over the western Pacific warm pool using observations collected from the MIT C-
237 band radar onboard the R/V *John V. Vickers* deployed during TOGA-COARE. RR98 divided
238 echoes by sub-MCS versus MCS scales (less than or exceeding a length scale of 100 km), and
239 whether the convective component was organized in a linear or non-linear manner. We utilize a
240 similar classification here. RR98 only assigned one organizational mode per radar volume, that
241 being the mode with the largest size (MCS) and the highest degree of organization (linear). In
242 contrast, this study utilizes an algorithm to automatically classify each PF, allowing multiple
243 modes per radar volume. The algorithm applies elliptical fits to the area of PFs and calculates
244 dimensions (e.g., major and minor axes) of the ellipses (Nesbitt et al. 2006; Liu and Zipser
245 2013). The major and minor axis lengths of the ellipse are derived from the mass distribution
246 tensor eigenvalues of the raining points within each feature (Medioni et al. 2000; Nesbitt et al.
247 2006). First of all, PFs are separated into MCSs (area $> 1000 \text{ km}^2$) and sub-MCSs (area < 1000
248 km^2) mainly following the TRMM PR morphology studies (Nesbitt et al. 2000; Cifelli et al.
249 2007; Liu et al. 2008; Liu and Zipser 2013). This 1000 km^2 area scale threshold for the MCS
250 category actually produces very similar MCS rainfall statistics ($< 10\%$ difference) as the 100 km
251 horizontal scale used by RR98 (not shown). MCSs and sub-MCSs are further defined as linear or

252 non-linear depending on the ratios of major to minor axes associated with each PF. RR98 defined
253 the major-to-minor ratios of convective features (only considering convective components) $> 5:1$
254 as linear and ratios $< 5:1$ as non-linear. However, we define the major-to-minor ratios of PFs $>$
255 $5:2$ as linear and ratios $< 5:2$ as non-linear, considering that PFs (or precipitating area) are much
256 broader than the embedded convective elements. Liu and Zipser (2013) also pointed out that the
257 linear convection definition (ratios $< 5:1$) is too restrictive for PFs. Sensitivity tests show that
258 difference in rainfall statistics of linear or non-linear MCSs is about 10% between the major-to-
259 minor ratios of $5:1$ and $5:2$ definitions. Figs. 1c-d provide examples of radar PFs in different
260 morphology categories. Linear MCSs generally represent squall-line systems or convective cells
261 arranged in a linear fashion (Fig. 1c), while non-linear MCSs have randomly distributed cells
262 embedded within stratiform precipitation (Fig. 1d).

263

264

265 *d. MJO indices*

266 This study employs the Wheeler–Hendon Real-Time Multivariate MJO (RMM)
267 index (Wheeler and Hendon 2004, hereafter WH index) to define the MJO phase. The WH index
268 is based on the combined empirical orthogonal functions (EOFs) of outgoing longwave radiation
269 (OLR) and zonal wind fields at 850 and 200-hPa. The WH index has been widely used and is an
270 effective filter for intraseasonal frequencies associated with the MJO (Wu and Lau 2010; Riley et
271 al. 2011). Based on the WH index, each day in the tropics can be assigned to one of eight MJO
272 phases. The active MJO phases over the CIO are assigned as phases 2 and 3. In other words,
273 phases 1 and 4 correspond to pre-onset and post MJO periods in the CIO, while phases 5-8 are
274 associated with suppressed MJO activity over this same domain. During the three MJO events in

275 DYNAMO (October-December, 2011), most of the days were of relatively strong MJO
276 magnitude ($RMM1^2 + RMM2^2 > 1$), indicating significant MJO events (XR14). On the other
277 hand, there were more than 50 MJO events observed by the TRMM satellite from October to
278 April during 1998-2012. In compositing TRMM data as a function of MJO phase, we only
279 include TRMM measurements taken during significant MJO periods (i.e., $RMM1^2 + RMM2^2 >$
280 1.2.

281

282 **3. Convective Evolution of MJOs during DYNAMO**

283 The DYNAMO field campaign observed two coherent MJO events and one
284 incoherent (with incomplete cycle) MJO event (Yoneyama et al. 2013; Gottschalck et al. 2014;
285 Johnson and Ciesielski 2013). The two coherent MJOs occurred in October (MJO 1) and
286 November 2011 (MJO 2) with convection propagating from the CIO to the central Pacific and
287 wind signals circumnavigating the globe (Gottschalck et al. 2014). Figure 2 clearly shows that
288 the MJO heavy precipitation envelope initiated over the western Indian Ocean (Fig. 2a and d),
289 passing through the DYNAMO array (Fig. 2b and e), and propagating eastward to the Maritime
290 Continent (Fig. 2c and f). The prolonged rain event observed by the Reville radar during MJO 1
291 (Fig. 2a-c) was associated with the most intense portion of that particular MJO event. During
292 MJO 2 (Fig. 2d-f), although intense precipitation was observed by the Reville radar (Fig. 2e), the
293 strongest convection and precipitation occurred over the northern portion of the large scale
294 DYNAMO array (Fig. 2e). The December MJO (MJO 3) was weaker in the OLR field
295 accompanied by less coherent propagation in the wind component (Gottschalck et al. 2014).
296 Only decaying phases (e.g., WH phases 4-5) were observed in MJO 3 as the more intense

297 convection was located north of the Revelle radar coverage area (not shown).

298 Figure 3 shows time series of Revelle radar-estimated rainfall (3 hourly, areal mean) as
299 a function of convective morphology during MJO 1 (Fig. 3a) and MJO 2 (Fig. 3b). [Due to the
300 nature of the sampling for MJO 3, we do not consider MJO 3 for this time series analysis,
301 however we do include MJO 3 in the precipitation statistics described in more detail later.] The
302 WH phase for each MJO is indicated at the top of each time series panel. In general, suppressed
303 periods (phases 5-7) in both MJO events were dominated by precipitation from sub-MCSs.
304 During active periods (phases 2-3), frequent MCS (both linear and non-linear) precipitation was
305 present. These results are consistent with the evolution of precipitating cloud populations
306 described by XR14. XR14 showed that deep convective cells frequently developed prior to MJO
307 onset (i.e., during phase 1), but those cells rarely organized into mesoscale systems. Throughout
308 the MJO cycle, sub-MCSs make minor contributions to precipitation (areal mean, 2-10 mm d⁻¹),
309 roughly 5-10% of that generated by MCSs (areal mean, 30-80 mm d⁻¹). However, sub-MCSs (or
310 isolated convective cells) dominate the convective cloud population during DYNAMO and were
311 suggested to play an important role in moistening the lower troposphere during MJO
312 “recharging” periods (XR14). In terms of organizational mode, non-linear MCSs contributed the
313 most rainfall (20-60 mm d⁻¹), while linear MCSs produced only 20-30% of that of the non-linear
314 MCSs (< 25 mm d⁻¹).

315 During MJO active periods, MCS precipitation was more frequent and of higher
316 intensity in MJO 1 than MJO 2 (Fig. 3, Table 1). As a result, the MJO envelope (active period)
317 contained substantially more rainfall in MJO 1 (13.7 mm d⁻¹) compared to MJO 2 (9.3 mm d⁻¹,
318 Table 1). This is also evident from the rainfall maps shown in Figs. 2b and d. There were more
319 than 10 major MCS precipitation events in MJO 1 (Fig. 3a). In MJO2, there were only two major

320 periods of MCS precipitation during active phases (Fig. 3b). These MCS precipitation events
321 were associated with the passage of two Kelvin waves comprising MJO 2 (Nov. 24 and Nov. 28;
322 Gottschalck et al. 2014; Moum et al. 2014). Indeed, the precipitation morphology depicted here
323 follows the evolution of echo-top height (0 dBZ radar echo-top) as shown by XR14 (their Fig.
324 3b). For example, precipitating echo tops became substantially deeper around Oct. 16 in MJO 1
325 and Nov. 21 during MJO 2, when the dominant convective mode shifted to MCS precipitation
326 (Fig. 3). This is reasonable since deep convective cells are necessary for MCS development.
327 Prior to MJO onset, convective deepening (increase of the echo-top height) was more persistent
328 and substantial in MJO 1 than MJO 2 (XR14). After the MJO onsets, MCSs were more frequent
329 and contributed more rainfall in MJO 1 than MJO 2 (Fig. 3, Table 1).

330

331 **4. Overall DYNAMO Radar Statistics and TRMM Climatology**

332 Based on echo object (or PFs) analysis, convective characteristics derived from 3-
333 months of Reville radar measurements are placed in the context of a 15-yr TRMM climatology
334 (October-April) over the CIO (5°N-5°S, 75°-85°E; white box in Fig. 2). As detailed below, the 3
335 month long Reville radar-observed precipitation feature populations for the MJO's sampled
336 during DYNAMO closely resemble the precipitation feature populations found in the TRMM PR
337 15 year climatology (Table 2), comprised of over 50 individual MJO events between 1998-2012.
338 The 3-month areal mean rainfall rate recorded by the Reville radar (7.0 mm d⁻¹) was also very
339 close to that of the TRMM PR's 15-yr climatology (6.1 mm d⁻¹), which is also consistent with
340 that derived from the multi-satellite rainfall product (3B42, 6.6 mm d⁻¹). It is interesting that
341 DYNAMO recorded much higher daily rainfall compared to TOGA COARE (radar estimates of

342 4.5-4.8 mm d⁻¹, Short et al. 1997; RR98). This difference may owe to the fact that only one
343 major MJO event occurred during TOGA COARE, while DYNAMO observed three MJO events
344 during its (similar) duration. In fact, the 15-yr averaged areal rainfall rate based on TRMM PR
345 centered on the TOGA COARE large-scale domain (5S-5N; 140-150 E) is 6.7 mm d⁻¹, very
346 similar to that of DYNAMO. TRMM PR and the Revelle radar also observed very similar
347 convective precipitation areas (25-27%). However, there is a significant discrepancy concerning
348 the convective rain volume fraction between TRMM PR (55%) and Revelle radar (73%). In fact,
349 the convective rain fraction derived from Revelle radar (73%) is very close to that based on
350 TOGA COARE shipborne radars (72%; Short et al. 1997; RR98). In contrast, Lin et al. (2004)
351 reported a convective rain fraction of 40-50% (or stratiform rain of 50-60%) over the equatorial
352 tropics based on a 5-yr TRMM PR climatology. The reason that the TRMM PR convective
353 fraction is less than Revelle is due to the reduced resolution of the TRMM PR. For example, the
354 convective /stratiform fractions become 60/40 percent when the Revelle radar data are reduced to
355 a 4 km resolution. The coarser resolution of the TRMM PR relative to the Revelle radar does not
356 allow it to resolve various reflectivity gradients that are characteristic of convection. We also
357 need to emphasize that the convective-stratiform fractions derived from the Revelle data may not
358 be generally representative of MJO precipitation since only MJO 1 made a “direct hit” on the
359 *Revelle’s* location (Fig. 2), with the heaviest precipitation passing to the north of the Revelle
360 during MJO 2 and 3.

361

362 *a. Morphology statistics*

363 Even though the MJOs documented during DYNAMO passed through the Revelle radar
364 area to various degrees, the distribution (CDF) of Revelle radar PFs as a function of size is very

365 close to that of the TRMM PR climatology (Fig. 4, red curves). This agreement suggests that
366 during the 3-month observational period the Revelle radar did obtain a view of MJO convection
367 that is representative of MJOs over this region of the CIO. Recall that the TRMM PR database
368 contains over 50 MJO events. Although MCSs (PFs > 1000 km²) comprise only 10% of the total
369 PF population, they contribute more than 70% of the total precipitation (Fig. 4, blue curves).
370 This is quite common over the tropics (Nesbitt et al. 2000; Liu et al. 2008; Cifelli et al. 2007).
371 Compared to the TRMM PR, the Revelle radar observed a smaller fraction of rainfall contributed
372 by very large PFs (> 50,000 km²). This may be due to the fact that the TRMM PR simply covers
373 a larger swath area compared to the Revelle radar.

374 Figure 5 shows the PF frequency, contribution to total rainfall, and convective rain
375 fraction in the four morphology categories. Error bars represent T test at the 95% significance
376 level. Generally, the TRMM PR climatology and the Revelle radar statistics show similar
377 distribution patterns as a function of PF morphology. They both indicate significant differences
378 among different morphologies. The occurrence frequency (green bars in Fig. 5) is in the
379 ascending order of linear MCSs (3-5%), non-linear MCSs (5-7%), linear sub-MCSs (20-25%),
380 and non-linear sub-MCSs (60-65%). Obviously, the non-linear sub-MCS mode dominated over
381 the CIO in terms of frequency of occurrence. The linear MCS category was the least observed
382 convective mode. In terms of rainfall contribution (blue bars in Fig. 5), non-linear MCSs
383 contributed ~50% of the total rainfall, compared to linear MCSs which produced ~20% of the
384 total rainfall. Similarly, sub-MCSs with linear organization contributed less rain (< 10%) than
385 non-linear sub-MCSs (~20%). RR98, examining TOGA COARE shipborne radar data, reported
386 that MCSs with linear organization dominated the total rainfall (80%), while non-linear MCSs
387 contributed less than 5% of the total rainfall. However, this study is PF-based (multiple

388 categories can appear in one radar image), while RR98 manually defined each radar image as
389 having only one morphology mode defined as the convective feature with the highest degree of
390 organization and size (i.e., the linear MCS is the largest and most organized mode). This study
391 utilizes an objective algorithm (Nesbitt et al. 2006; Liu and Zipser 2013) to automatically
392 identify each PF as one of the morphology categories, allowing systems of different
393 morphologies (e.g., MCS and isolated convective cells) to be classified within the same radar
394 image. In addition, RR98 classified on only convective components, while this study classifies
395 on overall precipitation area of PFs. In fact, our analysis method yields very similar statistics
396 when it is applied to the TOGA COARE MIT radar data (RR98) and TRMM PR measurements
397 over a box of 1000 km by 1000 km over the TOGA COARE region (Fig. 6). Furthermore, our
398 morphology statistics are consistent with the TRMM PR climatology across the broad tropical
399 oceans, e.g., there were three times more MCSs (PFs $> 1000 \text{ km}^2$) in the non-linear mode
400 compared to the linear mode (Liu and Zipser 2013). The assumptions made by RR98 (owing to
401 the non-existence of automated methods to objectively evaluate the large amount of radar data)
402 led to a bias towards linear MCS systems.

403 The convective rainfall fraction was also calculated for each morphology category (red
404 bars in Fig. 5). Concerning the statistics derived from the Reville radar, sub-MCSs have larger
405 fractions of convective precipitation (85-95%) than MCS categories (60-85%), indicating the
406 more isolated and convective nature of the former category. The lower fraction of convective
407 rain in MCSs is of course due to their substantial stratiform precipitation component. The
408 convective rain fraction in DYNAMO MCS events ranges from 70-85% for linear MCSs to 60-
409 75% for non-linear MCSs. RR98 found an opposite trend for TOGA COARE event, with 66%
410 for linear MCSs and 83% for non-linear MCSs. Collectively the convective fractions of

411 DYNAMO events are also somewhat higher than several case studies of individual tropical
412 squall lines from GATE (Houze 1977, 60%; Gamache and Houze 1983, 51%; Houze and
413 Rappaport 1984, 58%). The comparison of these statistics needs to be done with caution since
414 different analysis techniques were used (including different Z-R relationships for convective and
415 stratiform rain; different convective-stratiform partitioning assumptions, etc.). Also the present
416 study represents a combination of many snapshot observations of MCS events while previous
417 studies examined the entire life cycle of MCS events. Tropical squall lines over a portion of the
418 system's lifetime produced a broad range of convective rain fractions (Leary 1984, 70%; Zipser
419 et al. 1981, 45%–50%).

420 It is important to point out that there are also differences in the morphology statistics
421 between TRMM PR and the Reville radar. For example, linear MCSs have higher rainfall
422 contributions based on TRMM PR (28%) compared to the Reville radar (15%). This may be
423 partially due to the fact that the TRMM PR can detect longer line-shaped PFs (i.e., maximum of
424 1000 km along the PR swath in the study box) compared to the Reville radar (i.e., maximum
425 dimension of 300 km). As shown in Fig. 4, the TRMM PR observed a larger fraction of rainfall
426 from extremely large PFs. On the other hand, the Reville radar detected a larger rainfall fraction
427 contributed from non-linear sub-MCSs (23%) compared to 12% by TRMM PR. This may be due
428 to the coarser native horizontal resolution of the TRMM PR data compared to the Reville radar
429 (4 km vs. ~2 km). Furthermore, the convective rain fraction based on the Reville radar is higher
430 than that of the TRMM PR climatology regardless of morphology category. Again, differences in
431 the native data resolution are likely at play in explaining these differences.

432

433 *b. Convective intensities*

434 Figure 7 shows the distribution (PDF) of 20/30 dBZ echo-top heights by convective mode,
435 an indication of convective intensity. Revelle radar statistics are generally consistent with the
436 TRMM PR climatology on echo-top heights of both the 20 and 30 dBZ, with Revelle-observed
437 PFs slightly taller (0.5 km) than those measured by TRMM PR. It is clear that MCSs persistently
438 exhibited higher 20 or 30 dBZ echo tops than sub-MCSs, indicating they contained stronger
439 convective elements compared to convective elements in sub-MCSs. Rowe and Houze (2014)
440 showed similar differences between MCSs and sub-MCSs over Gan Is. during DYNAMO, in
441 terms of 0 dBZ echo tops and frequency of large ice particles deduced from the NCAR S-pol
442 radar polarimetric data. Liu and Zipser (2012) reported that organized convective lines across the
443 broad tropics are slightly weaker than those with near-circular shapes, indicated by lower 30 dBZ
444 echo top heights and warmer 37 GHz brightness temperatures. However, this study indicated that
445 convective systems over the CIO with linear and non-linear organization have nearly the same
446 convective intensities (e.g., 30 dBZ echo-top heights). In this study, the 20 dBZ echo-top heights
447 of MCSs peaked at 7.5 km, with approximately 10% of those systems achieving heights above
448 10 km (Fig. 7a). For MCS convective cores, the 30 dBZ echo-top height mode was near 6 km,
449 with a very sharp drop off above this altitude (Fig. 7b). Only 5% of the 30 dBZ echo tops
450 exceeded 8 km, which is an effective threshold for lightning occurrence (Zipser 1994; Petersen et
451 al. 1996; Liu et al. 2012).

452 Figure 8 depicts rainfall fractions contributed by each morphology type as a function of
453 20/30 dBZ echo-top height. Most of the MCS rainfall (70-80%) was contributed from deep
454 systems (e.g., 20 dBZ echo-top height > 10 km, Fig. 8a). Note that only 10% of the observed
455 MCSs had 20 dBZ echo tops exceeding 10 km (Fig. 7a). Only 5% of the sub-MCS precipitation
456 came from systems with 20 dBZ echo tops higher than 10 km. Approximately 10-20% (2%) of

457 MCS (sub-MCS) rainfall over the CIO was associated with intense convection, e.g., 30 dBZ
458 echo-top > 8 km (Fig. 8b). Xu and Zipser (2012) reported that over oceans less than 10% of
459 rainfall was contributed by intense convection (e.g, 30 dBZ echo tops > 8 km, or presence of
460 lightning) compared to 70% over tropical continents. These findings are consistent with observed
461 lightning frequencies presented by XR14.

462 There are notable differences in the rainfall contributions (as a function of convective
463 intensity) between the Reville radar and the TRMM PR climatology. The TRMM PR
464 climatology suggests that more than 40% of the MCS rainfall was contributed by systems with
465 extremely deep convective cores (e.g., 20 dBZ echo-top > 12 km), but the Reville radar indicates
466 only ~15% of MCS rainfall was due to MCSs with deep convective cores (Fig. 8a). In addition,
467 TRMM PR climatology shows 20% of the MCS rainfall was associated with intense convection
468 (30 dBZ echo-top > 8 km), while the Reville radar shows only 10%. However, there was a larger
469 fraction of sub-MCS rainfall contributed by PFs with relatively high echo tops (e.g., 20 dBZ
470 echo-top > 8 km, or 30 dBZ echo-top > 6 km) as indicated by the Reville radar compared to the
471 TRMM PR climatology. Again, these discrepancies might originate from differences in rainfall
472 estimation algorithms between TRMM PR and the Reville radar, as the convective intensities are
473 similar between Reville statistics and TRMM PR climatology. It is possible that the TRMM PR
474 underestimated rainfall for relative weak radar echoes but overestimated for strong radar echoes
475 compared to the Reville radar, since sub-MCSs should contain weaker radar echoes near the
476 surface, due to their substantially weaker convective intensities compared to MCSs (Fig. 7).

477

478 **5. Composites as a Function of MJO Phase**

479 XR14 showed that convective characteristics (i.e., precipitation amount, convective
480 intensity, and lightning frequency) and environmental variables (SST, tropospheric humidity)
481 evolved along the “recharge”-“discharge” processes (Blade and Hartmann 1993; Kemball-Cook
482 et al. 2001). One of the major goals of this study is to examine how the precipitation
483 morphologies vary with MJO evolution, or MJO phase defined by the WH index. It is also
484 important to know whether these MJO phase-based composites observed during DYNAMO are
485 comparable to the TRMM PR climatological patterns. This section compares the three MJO
486 events in DYNAMO against more than 50 MJO events observed by TRMM, from the
487 perspectives of total rainfall, convective/stratiform rain fraction, precipitation morphology, and
488 rainfall contribution as a function convective depth. There were more than 300 MJO event days
489 in each MJO phase during October to April in 1998-2012. However, we only include major MJO
490 event days (i.e., $RMM1^2 + RMM2^2 > 1.2$). Samples of selected MJO event days in each MJO
491 phase and corresponding PF populations are listed in Table 3.

492 *a. Total rainfall and precipitation types*

493 Figure 9 shows the areal mean rainfall derived from the Revelle radar, TRMM PR,
494 and 3B42 estimates as a function of MJO phase. XR14 previously showed that Revelle radar-
495 based rainfall time series agreed well with that from TRMM 3B42. Figure 9 indicates that the
496 large-scale MJO phase-to-phase rainfall evolution pattern was also captured by the Revelle radar
497 observations. For example, each rainfall plot shows a similar increasing trend from phase 7 to
498 phase 2, maximum at phase 2, and a decreasing trend from phase 2 to 5. The MJO phase-
499 composited rainfall evolution during DYNAMO (black, orange, and blue curves, Fig. 9) was
500 higher than that of the TRMM PR climatology (red curve), especially during active phases of the

501 MJO (e.g., phases 1-3). Note that because the TRMM PR provides very small data samples
502 during the 3 months of DYNAMO (only two overpasses per day), 3B42 data is used to represent
503 the satellite rainfall estimates during DYNAMO. Compared to the 3B42 product over the Revelle
504 radar coverage area (orange curve), the Revelle radar (black curve) measured more rainfall
505 during MJO building phases (e.g., phases 8-1) but less rainfall during MJO active and decaying
506 phases (phases 2-4). This may result from the fact that TRMM 3B42 mainly relies on microwave
507 and Infrared measurements. Both microwave and Infrared rainfall estimation techniques are
508 based on indirect relationships between ice particles/cloud tops and the surface precipitation that
509 vary greatly among different weather regimes. During the active and decaying phases,
510 precipitation systems could still have abundant ice particles and extensive cold cloud tops, but
511 precipitation intensity is substantially reduced due to the weakening of convective intensity (Xu
512 and Rutledge 2014). In this case, microwave/IR techniques likely overestimate precipitation.
513 These trends suggest that 3B42 may underestimate rainfall produced by deep isolated convective
514 cells during MJO building phases, and overestimate precipitation produced by large MCSs
515 during active phases. Compared to 3B42 over the large-scale area (blue curve), the Revelle radar
516 (black curve) diagnosed less rainfall during MJO active and decaying phases. This difference is
517 likely caused by the heaviest precipitation passing to the north of the Revelle during MJO 2 and
518 3. However, the main take away message from the above is that the Revelle radar measurements
519 evidently captured the MJO rainfall evolution revealed in the much longer record (TRMM PR)
520 satellite statistics.

521 The total rainfall was further divided into convective and stratiform types based on
522 estimations from the Revelle radar and TRMM PR (Fig. 10). The 3-month Revelle radar
523 estimates (Fig. 10a) show similar rainfall magnitudes as the long-term TRMM PR estimates (Fig.

524 10c) but display greater phase-to-phase variability compared to TRMM PR climatology. Both
525 Reville (Fig. 10a-b) and TRMM PR (Fig. 10c-d) precipitation estimates show that convective
526 precipitation dominates the suppressed MJO phases (phases 5-7), but stratiform type rainfall
527 becomes substantial (40-50%) in the MJO active phases (phases 2-3). XR14 related the growth
528 of stratiform precipitation to moist mid-to-upper tropospheric conditions and strong deep
529 tropospheric wind shear in active MJO phases. Reville radar observations indicate that 80-90%
530 of the total precipitation in MJO suppressed phases (phases 5-7) falls as convective rain (Fig.
531 10b). This is reasonable, as the MJO suppressed phases were characterized by shallow and
532 isolated convection as shown by XR14. In contrast, the TRMM PR climatology displays much
533 lower convective rain fraction (50-60%) in the suppressed phases (Fig. 10d).

534 Throughout the MJO life cycle, TRMM PR estimated lower convective rain fractions
535 compared to Reville radar. As has been mentioned previously, this is mainly due to the coarser
536 resolution of the TRMM PR (4 km) relative to the Reville radar (~2 km) such that the TRMM
537 PR cannot adequately resolve various reflectivity gradients that are often characteristic of
538 convection. Sensitivity tests show that the convective rain fractions are similar between Reville
539 radar and TRMM PR when the Reville radar data are degraded to 4 km resolution. In addition,
540 the TRMM PR may classify some of the isolated convection as stratiform precipitation due to its
541 lower sensitivity and reduced horizontal resolution compared to the Reville radar. In fact, the
542 identification of isolated convection poses a significant difficulty for partitioning algorithms
543 (both for satellite and ground based radars) because these echoes often have low reflectivities
544 and weak horizontal gradients. While these isolated echoes are minor contributors to the total
545 rainfall (~10%), it is important to properly classify them in order to understand the role of

546 shallow isolated convection in MJO initiation. These types of echoes are present in 20-30% of
547 the radar observations from Revelle and are dominant during highly suppressed periods (XR14).

548

549 *b. Rainfall distribution as a function of morphology and echo-top height*

550 The total precipitation in each MJO phase was also broken down into fractional rainfall
551 contributed from PFs in each of the four morphologies (Figure 11). In general, suppressed MJO
552 phases (e.g., phases 5-7) were characterized by sub-MCS rainfall, while active phases (e.g.,
553 phases 2-3) were dominated by the MCS rainfall. This is especially obvious in both the time
554 series (Fig. 3) and composites (Fig. 11a-b) of Revelle radar measurements. Revelle-based
555 composites also display more variability than the TRMM PR climatology. For example, Revelle
556 radar measurements indicated 60-80% of the rainfall during suppressed phases (e.g., phases 5-7)
557 came from sub-MCSs (Fig. 11b), while the TRMM PR analysis shows that only 30-40% of
558 rainfall fell from sub-MCSs (Fig. 11d). Revelle-based composites also show that the increase of
559 MCS precipitation was quite sudden, e.g., rapid increase from phases 7-8 to phase 1 (Fig. 11a;
560 also see the time series of the two MJO events shown in Fig. 3). Both the Revelle radar and
561 TRMM PR indicated that MCSs contribute 75-85% of the total precipitation during the MJO
562 peak phase (e.g., phase 2, Fig. 11b and d). For active phases, TRMM PR climatology reveals that
563 linear MCSs and non-linear MCSs each contribute significantly to total rainfall (~40%, Fig.
564 11d). Revelle radar measurements indicate dominance of non-linear MCS rainfall (~60%, Fig.
565 11b). The TRMM PR climatology also shows an increase of rainfall produced by linear MCSs
566 from inactive to active phases (Fig. 11c-d), suggesting the frequent development of squall line
567 systems during active periods. As has been previously mentioned, the long swath (up to 1000 km
568 in the study box) of the TRMM PR might enable PR to detect longer (or larger) squall-line

569 systems compared to the Reville radar (whose coverage was limited to 300 km in length).

570 Figure 12 indicates the rainfall amount and fractions in each MJO phase contributed
571 from PFs with different echo-top heights, i.e., shallow < 5 km, mid-depth 5-8 km, and deep > 8
572 km. Basically, shallow PFs contribute a very small fraction of the total precipitation (~5% from
573 TRMM PR, ~2% from Reville) throughout the MJO life cycle. Deep PFs produced the most
574 rainfall (60-80%) during all MJO phases, with 20-40% of the rainfall contributed by PFs
575 reaching heights at least to the mid-troposphere. Both TRMM PR and Reville statistics show
576 that deep PFs contribute 15-20% more rainfall in active periods than during MJO inactive
577 periods (e.g., minimum at phases 5-6, and maximum at phase 2). This pattern of deep PF rainfall
578 is exactly the same as that of MCS rainfall (Fig. 11). TRMM PR (70-85%, Fig. 12b) observed a
579 higher fraction of rainfall originating from deep PFs compared to the Reville radar (60-75%, Fig.
580 12c), as well as MCS rainfall (Fig. 11). As was previously discussed, TRMM PR tended to
581 retrieve more rainfall (in the climatological sense) than the Reville radar (Fig. 8) for MCSs of
582 similar convective intensities (Fig. 7). The reasons for these differences remain unclear.

583

584 **6. Conclusions**

585 This study used 3-months of shipborne radar observations during the 2011-2012
586 DYNAMO field campaign along with a 15-yr climatology of TRMM PR measurements over the
587 central Indian Ocean region to investigate convective morphologies, as well as convective
588 intensity and rainfall contributions by each organizational category. This study first presented the
589 convection/precipitation morphology characteristics of two major MJO events observed by the
590 shipborne radar (Reville radar) during DYNAMO. Time series of Reville radar data indicated

591 clear transitions of convective organization, intensity, and precipitation morphology from
592 suppressed periods to active periods. The DYNAMO-based analysis was placed in the context of
593 the substantially longer-term satellite (i.e., TRMM) climatology. This comparison indicates that
594 the Reville radar statistics are consistent with those from the long term TRMM PR record. Major
595 findings in this study are as follows:

596 1) During the October and November 2011 MJO events observed by the Reville radar,
597 convective organization evolved from the sub-MCS organizational mode in suppressed periods
598 to the MCS-dominated mode in active periods; this transition was consistent with those of the
599 convective intensity and environmental conditions; MCSs were rare outside the MJO convective
600 envelope;

601 2) The Reville radar well captured major climatological characteristics of MJO convection
602 as indicated by comparison to the long-term TRMM PR statistics over the DYNAMO array,
603 including aspects of areal mean rainfall (6-7 mm d⁻¹), distributions of convective intensities
604 (20/30 dBZ echo-top heights), rainfall contributions from the four morphology categories, and
605 variations of these parameters as a function of MJO phase;

606 3) Sub-MCSs (including both linear and non-linear) were present 90% of the time (20% in
607 linear and 70% in non-linear mode), but only contributed roughly 30% of the total precipitation
608 (10% in linear and 20% in non-linear mode); non-linear MCS events contribute much more
609 volumetric rain (50%) compared to linear MCS events (20%), owing to their higher frequency of
610 occurrence; however, these distributions also varied with MJO phase, with the highest sub-MCS
611 rainfall fraction in suppressed phases (phases 5-7) and maximum MCS precipitation in active
612 phases (phases 2-3);

613 4) Convective cells are deeper within MCSs (e.g. 20 and 30 dBZ echo-top heights are on
614 average 2 km higher) compared to sub-MCSs; convective-stratiform rainfall fraction varies
615 significantly with MJO phase, with the highest convective fraction (70-80%) in suppressed
616 phases and the largest stratiform fractions (40-50%) in active phases; similarly, active phases are
617 also characterized by maximum fraction of rainfall generated by deep convective cells;

618 5) There are differences between the statistics derived from Reville radar and TRMM PR,
619 such as convective/stratiform rainfall fraction (e.g., Reville radar indicated a mean stratiform
620 fraction of 30% compared to 45% for TRMM PR); TRMM PR composites indicate linear-MCS
621 rainfall increases after MJO onset and produces similar rainfall contributions to non-linear
622 MCSs; however, the Reville radar statistics showed the clear dominance of non-linear MCS
623 rainfall (more than twice that of linear-MCSs).

624 6) The TRMM PR estimated lower convective rain fractions compared to the Reville
625 radar. We suggest this is mainly due to the coarser resolution of the TRMM PR (4 km) relative to
626 the Reville radar (~2 km) such that the TRMM PR cannot adequately resolve various reflectivity
627 gradients that are often characteristic of convection. When the Reville radar data were degraded
628 to 4 km resolution convective fractions between the two platforms were very similar.

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886 **Figure captions**

887 **Figure 1.** Examples precipitation features (PFs, reflectivity > 17 dBZ at 2-km) observed by: (a)
888 TRMM PR at 2251UTC 10/18 2011, (b) Revelle radar at 2250UTC 10/18 2011. Examples of
889 different morphologies of PFs measured by Revelle radar: (c) Sub-L (sub-MCS linear), Sub-NL
890 (sub-MCS nonlinear), and MCS-L (MCS linear) at 0300UTC 11/09 2011, and (d) MCS-NL
891 (MCS Nonlinear) at 0000UTC 10/25 2011. PFs in (c)-(d) are fit into ellipses (dash line) for
892 major axis and minor axis (solid line).

893

894 **Figure 2.** Rainfall distribution (TRMM 3B42) over the Indian Ocean as a function of WH MJO
895 phase. (a)-(c): during MJO 1 (phase 1: 10/15 – 10/19, phase 2: 10/20-29, phase 3: 10/30-11/3,
896 2011), and (d)-(f) during MJO 2 (phase 1: 11/17-11/20, phase 2: 11/21-11/25, phase 3: 11/26-
897 11/30, 2011). The DYNAMO array is marked by solid black line, while the white dashed box
898 defines the climatology study region (75-85 E; 5 S- 5 N). DYNAMO radar ranges are marked by
899 circles: Revelle radar (black solid), S-pol on Gan Is. (black dashed), and the C-band radar on
900 R/V Mirai (black dashed).

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902 **Figure 3.** Time series (3 hourly) of areal mean rainrate (based on Revelle radar) as a function of
903 different morphologies: (a) during R/V Revelle cruise 2, and (b) during R/V Revelle cruise 3.
904 Corresponding WH MJO phase numbers are indicated on the upper x-axis.

905

906 **Figure 4.** Cumulative distribution function (CDF) of PF population and rainfall fraction as a
907 function of PF area. Revelle radar data (square) are from Oct. to Dec. in 2011, and TRMM PR
908 data (star) are selected over (75-85 E; 5 S- 5 N) during Oct.-Apr. from 1998 to 2012.

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911 **Figure 5.** Total PF frequency (green), fraction of total rain volume (blue), and convective
912 rainfall fraction of different morphology categories: (a) statistics from Reville radar, and (b)
913 statistics based on TRMM PR. Error bars pass the T test at 95% confidence interval based on
914 daily means.

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916 **Figure 6.** The same as Fig. 5, but for (a) MIT radar statistics during TOGA COARE, and (b)
917 TRMM PR statistics over the large TOGA COARE region (140-150 E; 5 S- 5 N) during 1998-
918 2012 Oct.-Apr.

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920 **Figure 7.** PDFs (probability distribution function) of PFs categorized by: (a) maximum 20 dBZ
921 echo-top height and (b) maximum 30 dBZ echo-top height, based on Reville radar (blue) and
922 TRMM PR (red) measurements. Specific precipitation morphology of different categories are
923 indicated by different markers.

924
925 **Figure 8.** CDFs (cumulative distribution function) of rain volumes contributed from different
926 PFs categorized by: (a) maximum 20 dBZ echo-top height and (b) maximum 30 dBZ echo-top
927 height, based on Reville radar (blue) and TRMM PR (red) measurements. PFs of specific
928 precipitation morphology are indicated by different markers.

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931 **Figure 9.** Rainfall (areal mean) composites as a function of WH MJO phase from various rainfall
932 estimation methods. Rainfall over the Revelle radar coverage area during October-December
933 2011 (when R/V Revelle was in station) is derived from the Revelle radar (black star) and
934 TRMM 3B42 (orange diamond). TRMM 3B42 rainfall is also averaged over (5 S – 5 N; 75- 85 E)
935 during October-December 2011 (blue triangle). Long-term (15-yr) TRMM PR (2A25) rainfall is
936 averaged over (5 S – 5 N; 75- 85 E) during October-April from 1998-2012 (red square). Note
937 that the 1:2:1 smooth filter has been applied to these time series. Error bars pass the T test at 95%
938 confidence interval based on daily means.

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940 **Figure 10.** Rainfall composites as a function of WH MJO phase contributed by convective and
941 stratiform precipitation. (a)-(b): Revelle radar statistics, and (c)-(d): TRMM PR statistics.

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943 **Figure 11.** Rainfall composites as a function of WH MJO phase contributed from PFs of
944 different precipitation morphologies. (a)-(b): Revelle radar measurements, and (c)-(d): TRMM
945 PR statistics.

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947 **Figure 12.** Same as Fig. 11, but for rainfall contributed from PFs of different 20-dBZ echo
948 heights: shallow (< 5 km), middle (between 5-8 km), and deep (> 8 km).

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955 **Tables**

956 **Table 1.** Rain depth (areal mean rainrate) during MJO wet periods of MJO 1 (10/14-10/29) and
 957 MJO 2 (11/15-11/30). Fractions of rainfall contributed from systems of specific morphology
 958 category are also listed.

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MJO Envelope	Rain depth (mm d ⁻¹)	Linear MCS (%)	Non-linear MCS (%)	Linear sub-MCS (%)	Non-linear sub-MCS (%)
MJO 1	13.7	16	61	7	16
MJO 2	9.3	15	49	11	25

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962 **Table 2.** Summary of total PF population, areal mean rainrate, convective precipitation area
 963 percentage, convective rainfall fraction observed by TRMM PR and the Revelle radar. Areal
 964 mean rainrate derived from the TRMM 3B42 is listed in the bracket. Revelle radar data are from
 965 Oct.-Dec. 2011, and TRMM PR data are during Oct.-Apr. in 1998-2012 over (75-85 E; 5 S -5
 966 N).

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	Total PF Samples	Areal Mean Rainrate (mm/day)	Convective Area Fraction	Fraction of Convective Rain Volume
TRMM PR (3B42)	87,140	6.1 (6.6)	27%	55%
Revelle Radar	77,408	7.0	25%	73%

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971 **Table 3.** Samples of MJO event days and PFs as a function of WH MJO phase in the TRMM PR
 972 and Revelle radar dataset. TRMM PR dataset includes measurements observed during Oct.-Apr.
 973 from 1998 to 2012 over the CIO (75-85 E; 5 S -5 N). TRMM sampling for WH MJO composites
 974 only include significant MJO days (i.e., $RMM1^2 + RMM2^2 > 1.2$).

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Phase	1	2	3	4	5	6	7	8
TRMM Sampling Days	138	189	227	187	234	231	194	180
TRMM PFs	4749	6177	6006	3586	5173	5276	4996	5757
Revelle Sampling Days	9	14	5	10	6	6	8	9
Revelle PFs	12708	22043	6645	9453	1406	1371	3699	10538

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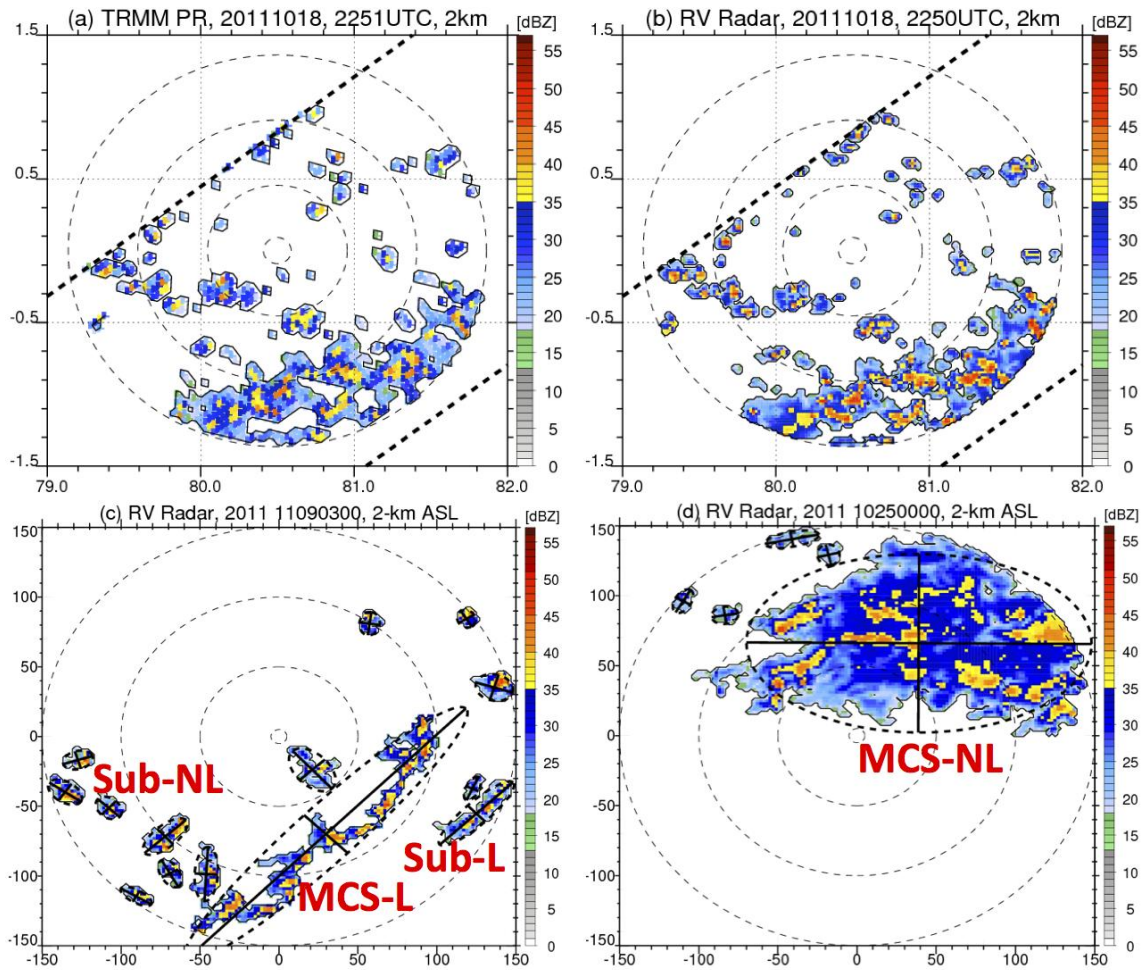
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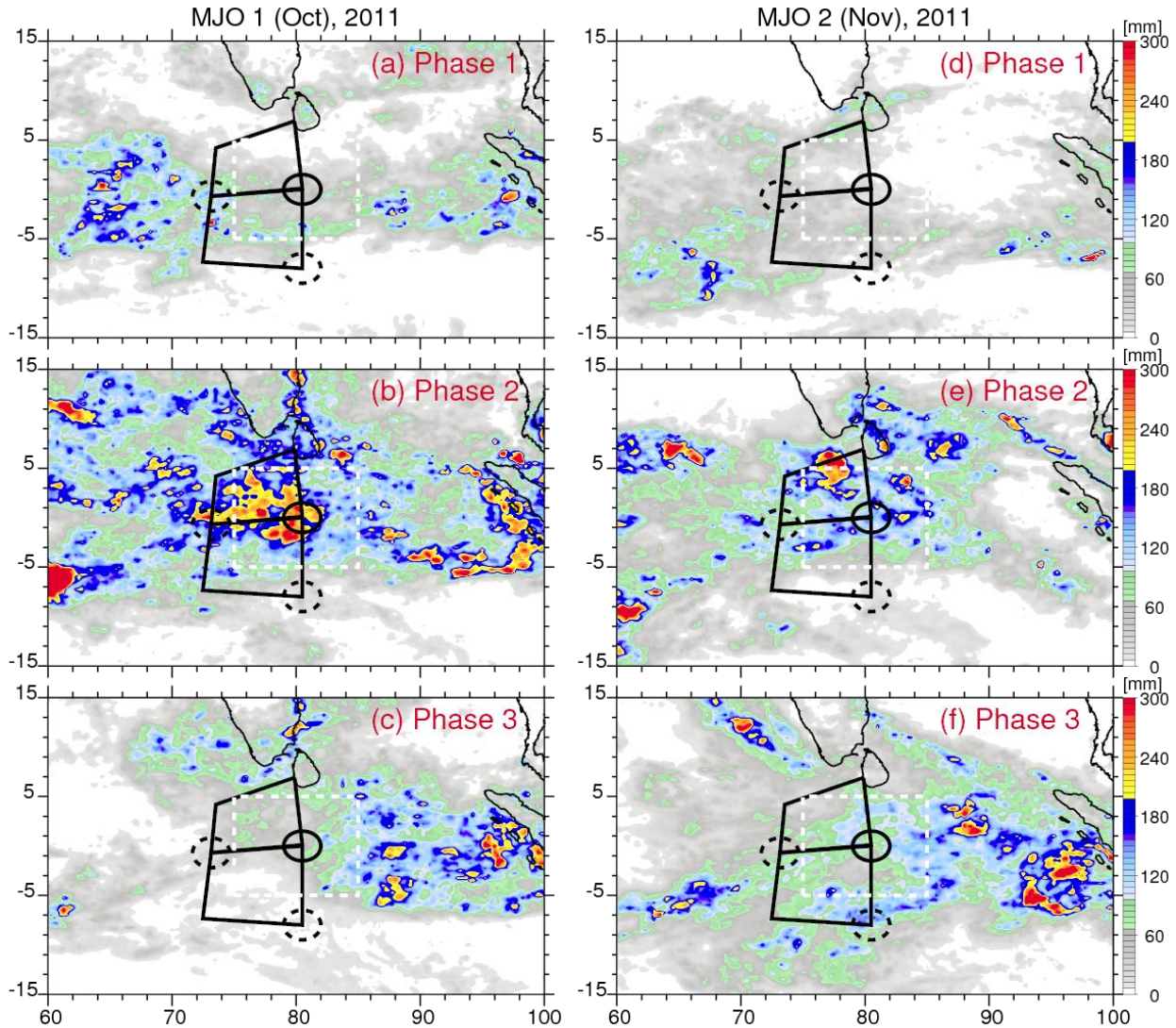
990 **Figure 1.** Examples precipitation features (PFs, reflectivity > 17 dBZ at 2-km) observed by: (a)
991 TRMM PR at 2251UTC 10/18 2011, (b) Revelle radar at 2250UTC 10/18 2011. Examples of
992 different morphologies of PFs measured by Revelle radar: (c) Sub-L (sub-MCS linear), Sub-NL
993 (sub-MCS nonlinear), and MCS-L (MCS linear) at 0300UTC 11/09 2011, and (d) MCS-NL
994 (MCS Nonlinear) at 0000UTC 10/25 2011. PFs in (c)-(d) are fit into ellipses (dash line) for
995 major axis and minor axis (solid line).

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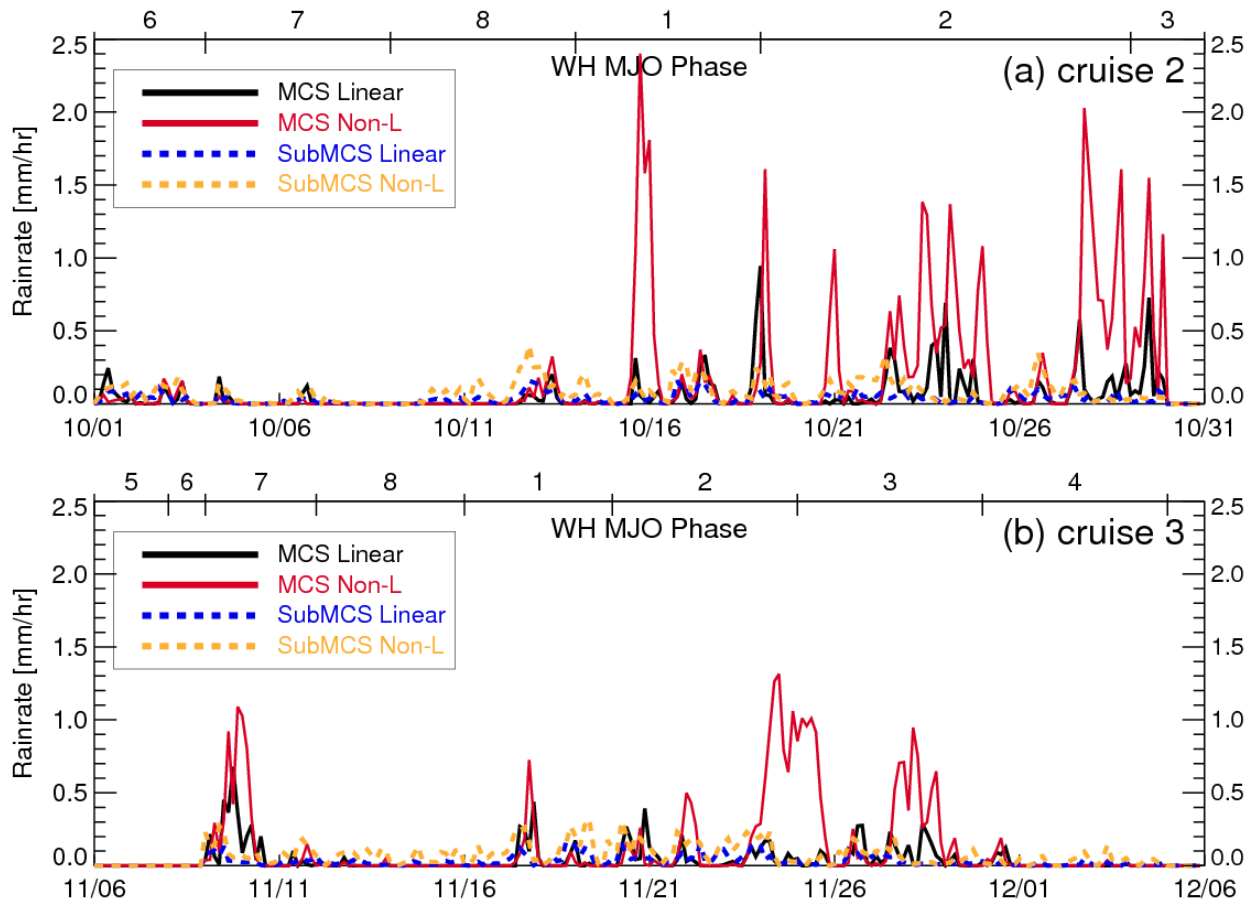


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1002 **Figure 2.** Rainfall distribution (TRMM 3B42) over the Indian Ocean as a function of WH MJO
 1003 phase. (a)-(c): during MJO 1 (phase 1: 10/15 – 10/19, phase 2: 10/20-29, phase 3: 10/30-11/3,
 1004 2011), and (d)-(f) during MJO 2 (phase 1: 11/17-11/20, phase 2: 11/21-11/25, phase 3: 11/26-
 1005 11/30, 2011). The DYNAMO array is marked by solid black line, while the white dashed box
 1006 defines the climatology study region (75-85 E; 5 S- 5 N). DYNAMO radar ranges are marked by
 1007 circles: Revelle radar (black solid), S-pol on Gan Is. (black dashed), and the C-band radar on
 1008 R/V Mirai (black dashed).

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1013 **Figure 3.** Time series (3 hourly) of areal mean rainrate (mm hr^{-1}) as a function of different

1014 morphologies during R/V Revelle: (a) cruise 2, and (b) cruise 3. Corresponding WH MJO phase

1015 numbers are indicated on the upper x-axis.

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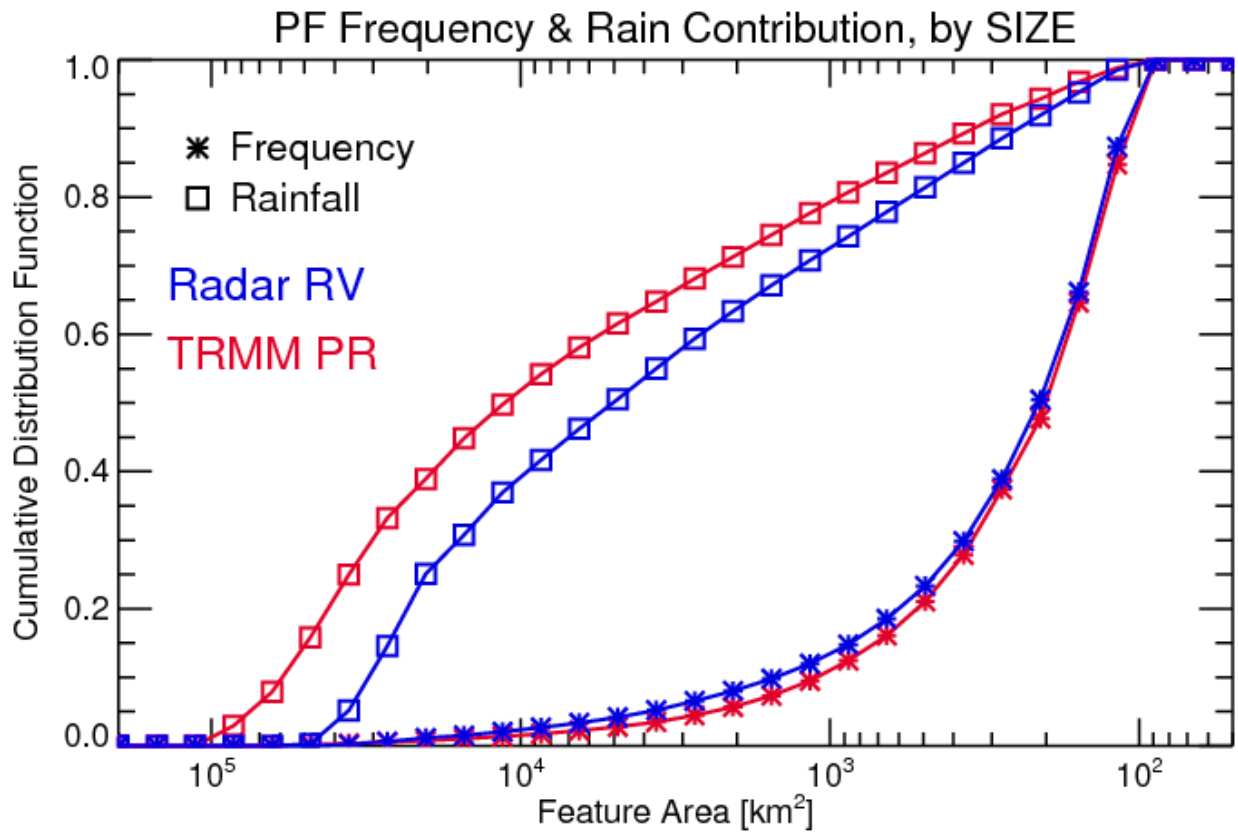
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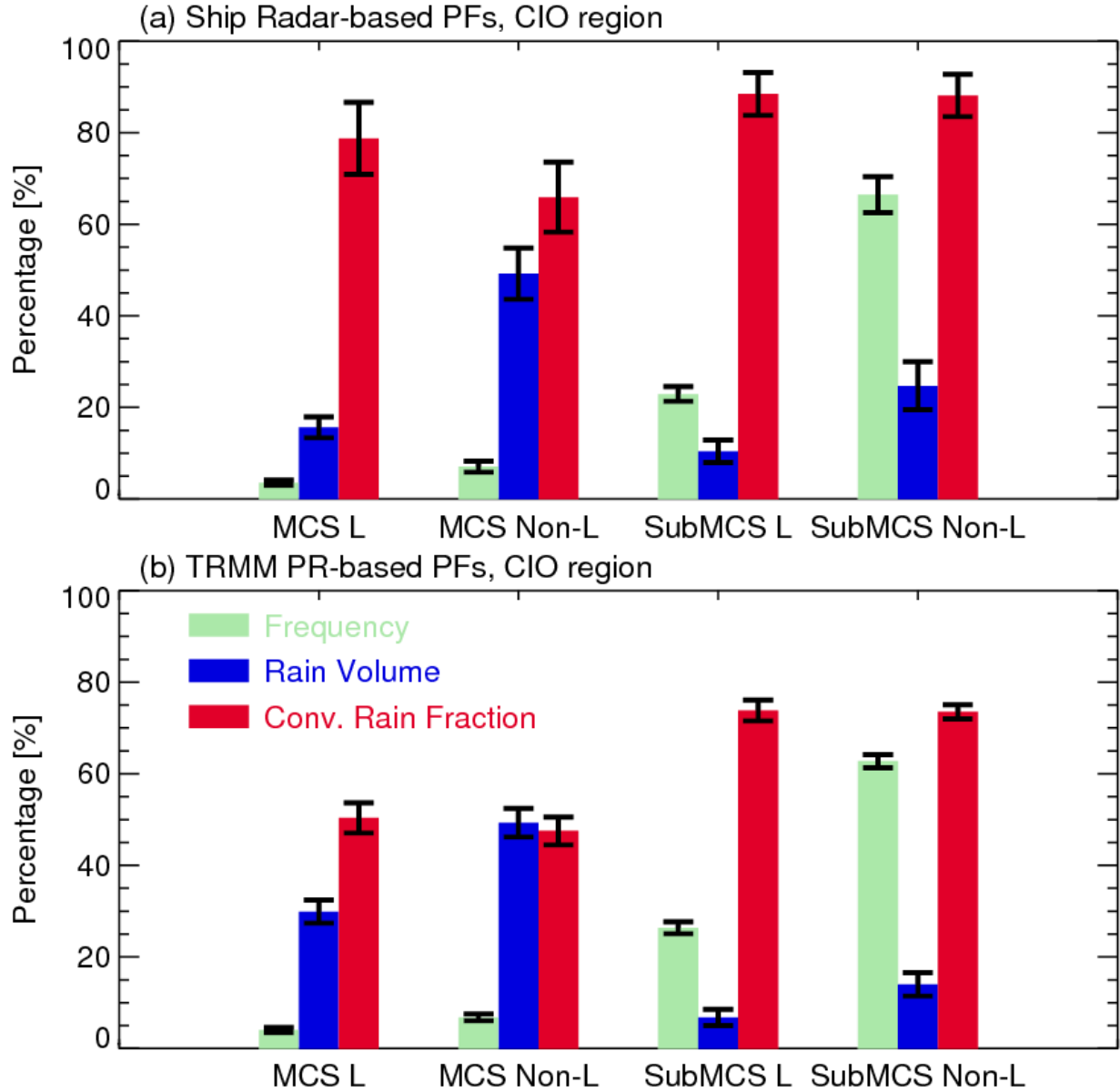
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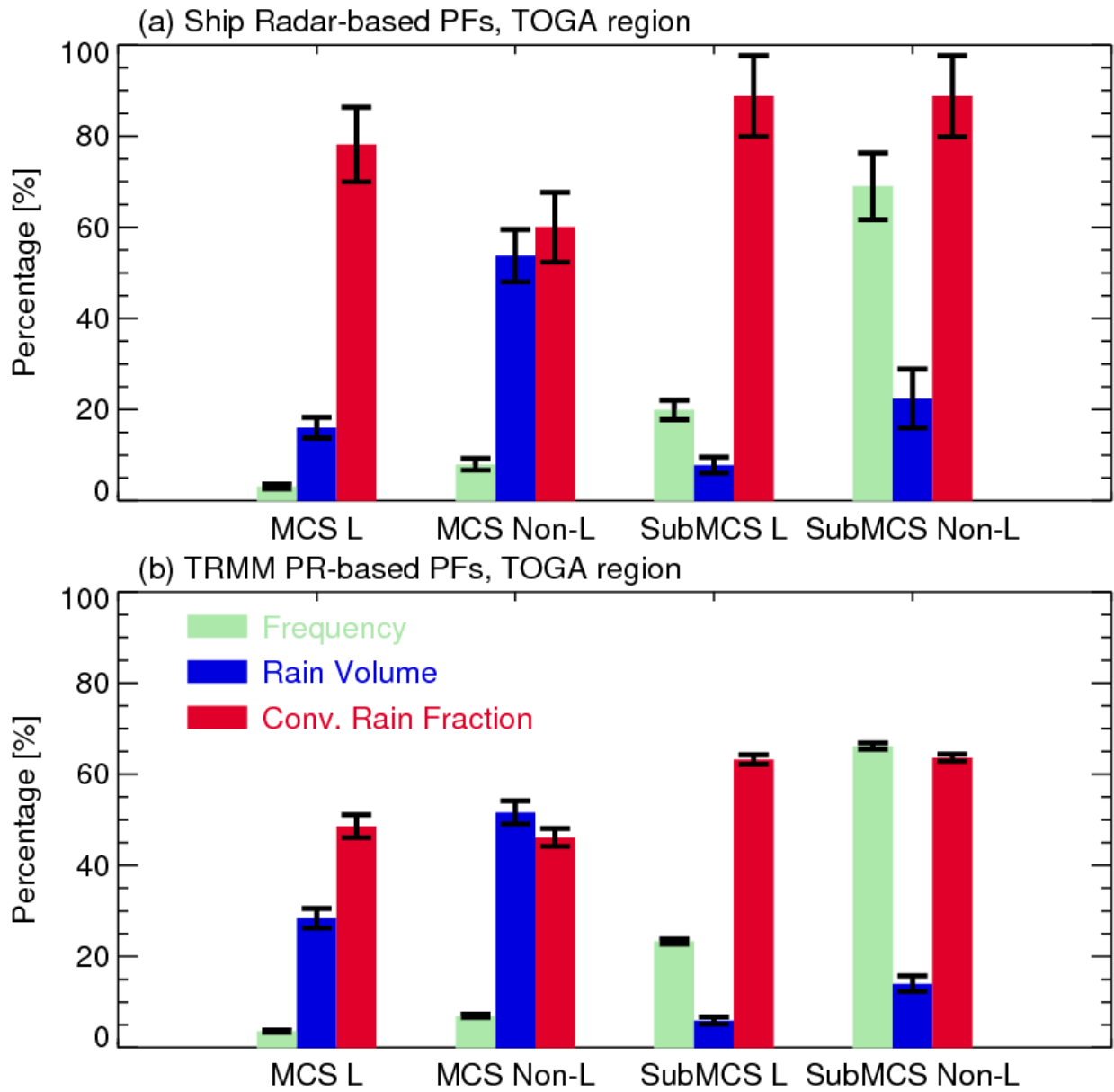
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Figure 5. Total PF frequency (green), fraction of total rain volume (blue), and convective rainfall fraction of different morphology categories: (a) statistics from Reville radar, and (b) statistics over (75-85 E; 5 S- 5 N) based on TRMM PR. Error bars pass the T test at 95% confidence interval based on daily means.

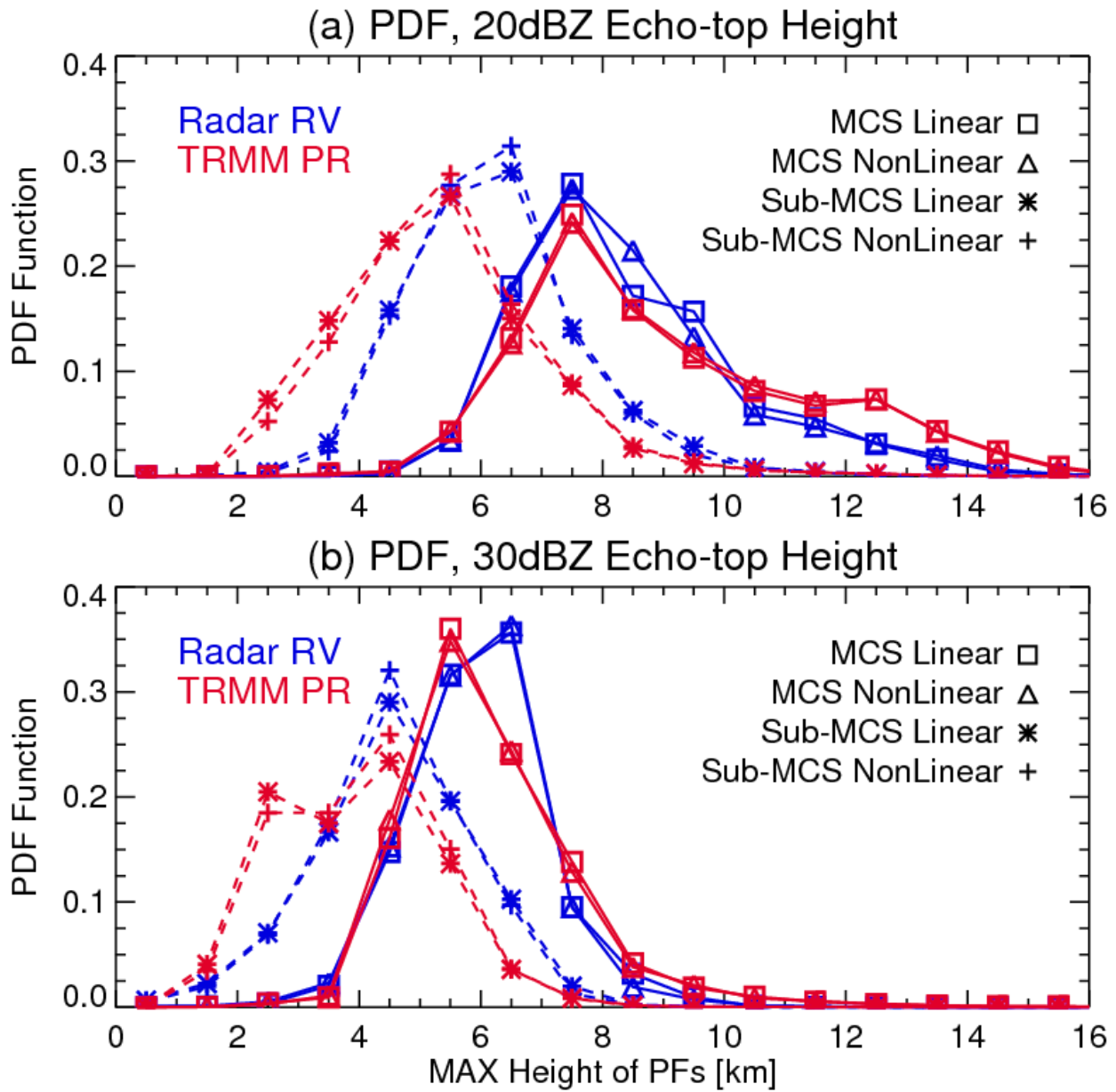
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1060 **Figure 6.** The same as Fig. 5, but for (a) MIT radar statistics, and (b) TRMM PR statistics over
1061 the large TOGA COARE region (140-150 E; 5 S- 5 N).

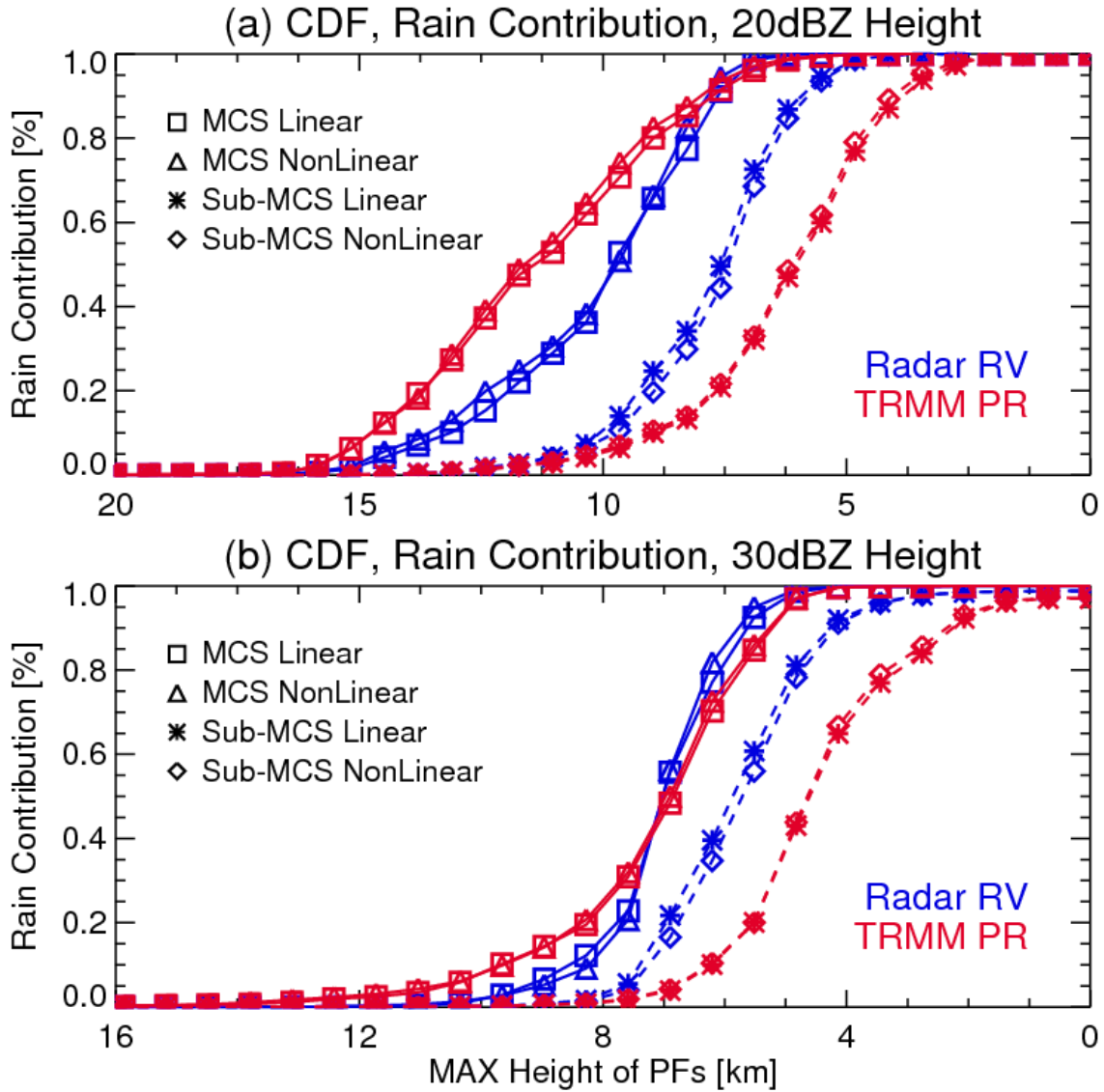
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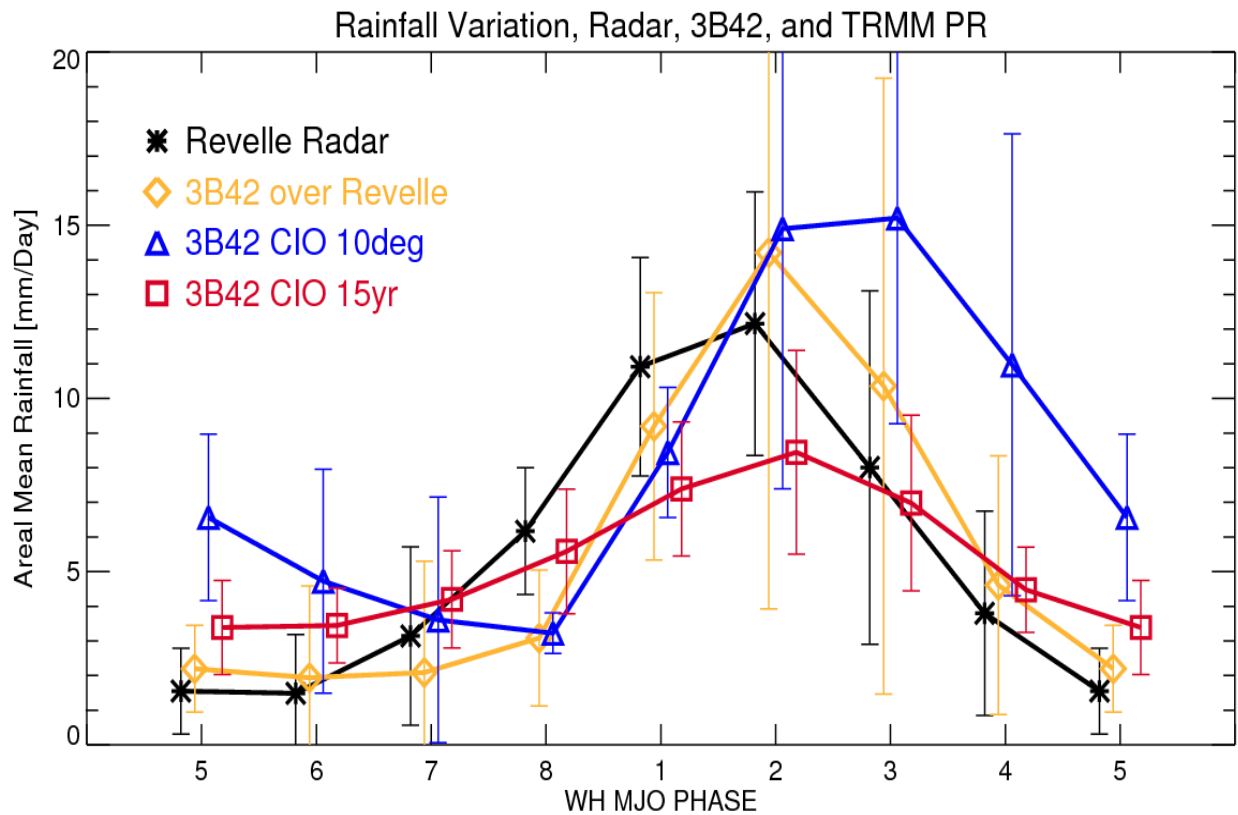
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Figure 8. CDFs (cumulative distribution function) of rain volumes contributed from different PFs categorized by: (a) maximum 20 dBZ echo-top height and (b) maximum 30 dBZ echo-top height, based on Revelle radar (blue) and TRMM PR (red) measurements. PFs of specific precipitation morphology are indicated by different markers.

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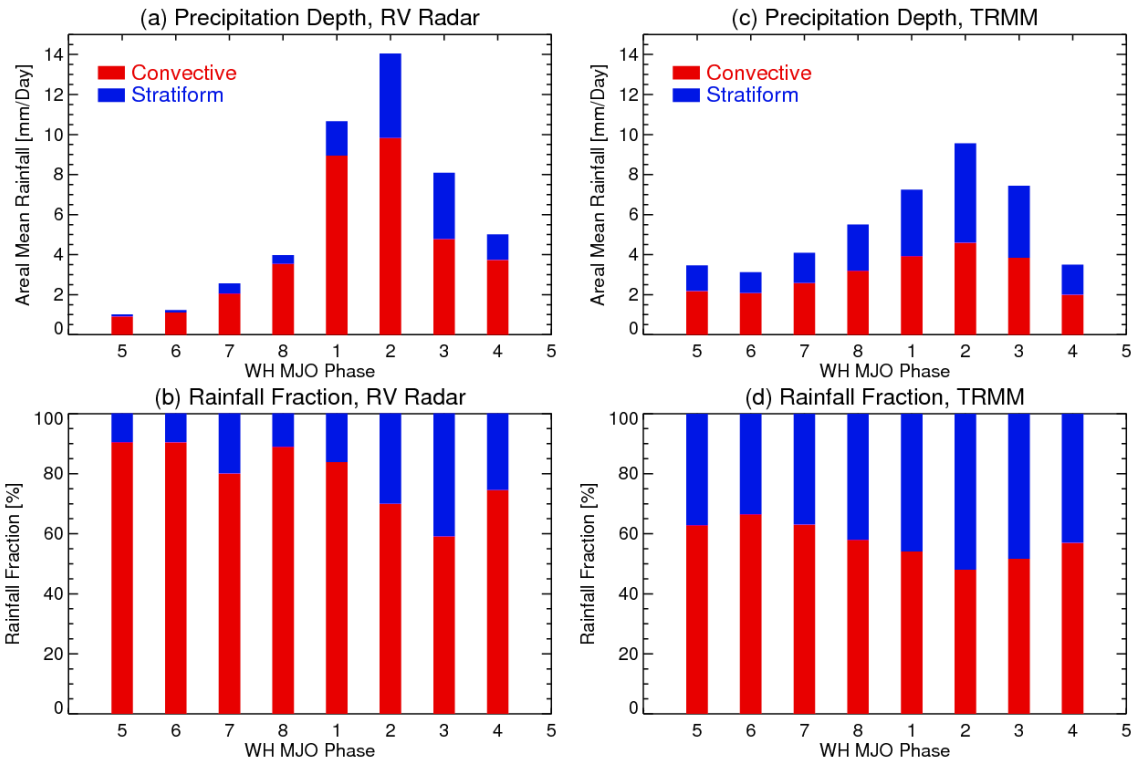


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Figure 9. Rainfall composites as a function of WH MJO phase from various rainfall estimation methods. Rainfall over the Revelle radar coverage area during October-December 2011 is derived from the Revelle radar (black star) and TRMM 3B42 (orange diamond). TRMM 3B42 rainfall is also averaged over (5 S – 5 N; 75- 85 E) during October-December 2011 (blue triangle). Long-term (15-yr) TRMM PR (2A25) rainfall is averaged over (5 S – 5 N; 75- 85 E) during October-April from 1998-2012 (red square). Note that the 1:2:1 smooth filter has been applied to these time series. Error bars pass the T test at 95% confidence interval based on daily means.

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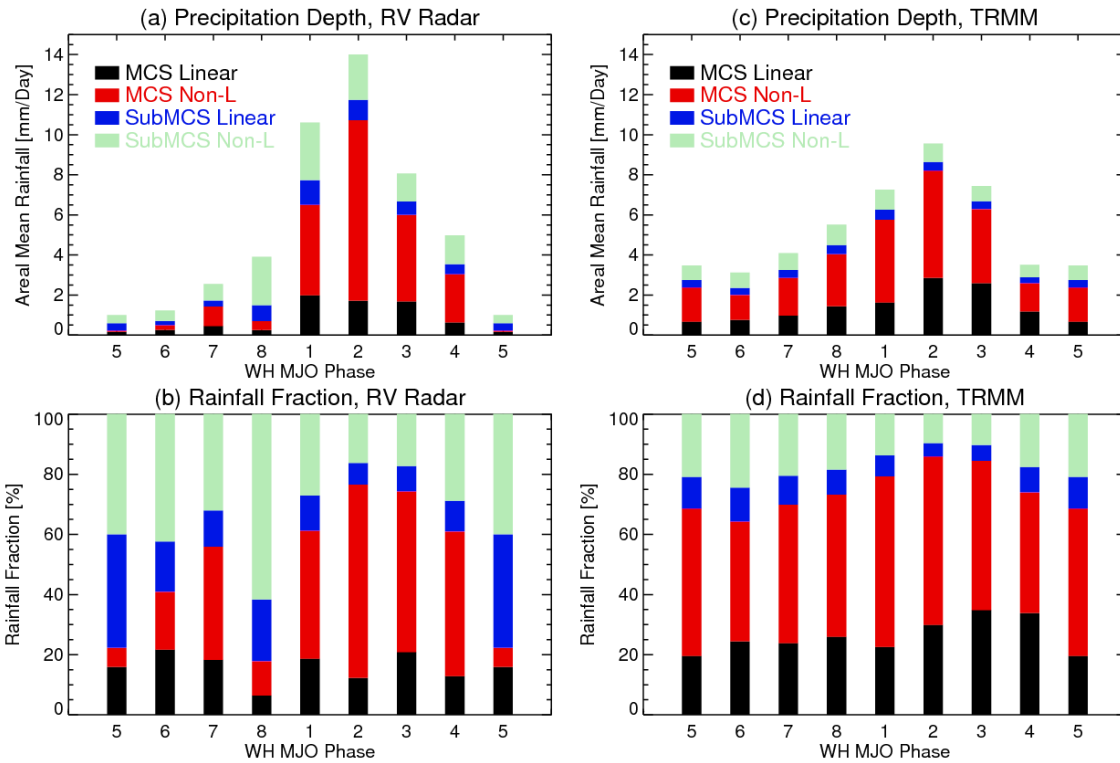


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Figure 10. Rainfall composites as a function of WH MJO phase contributed by convective and stratiform precipitation. (a)-(b): Reville radar statistics, and (c)-(d): TRMM PR statistics.

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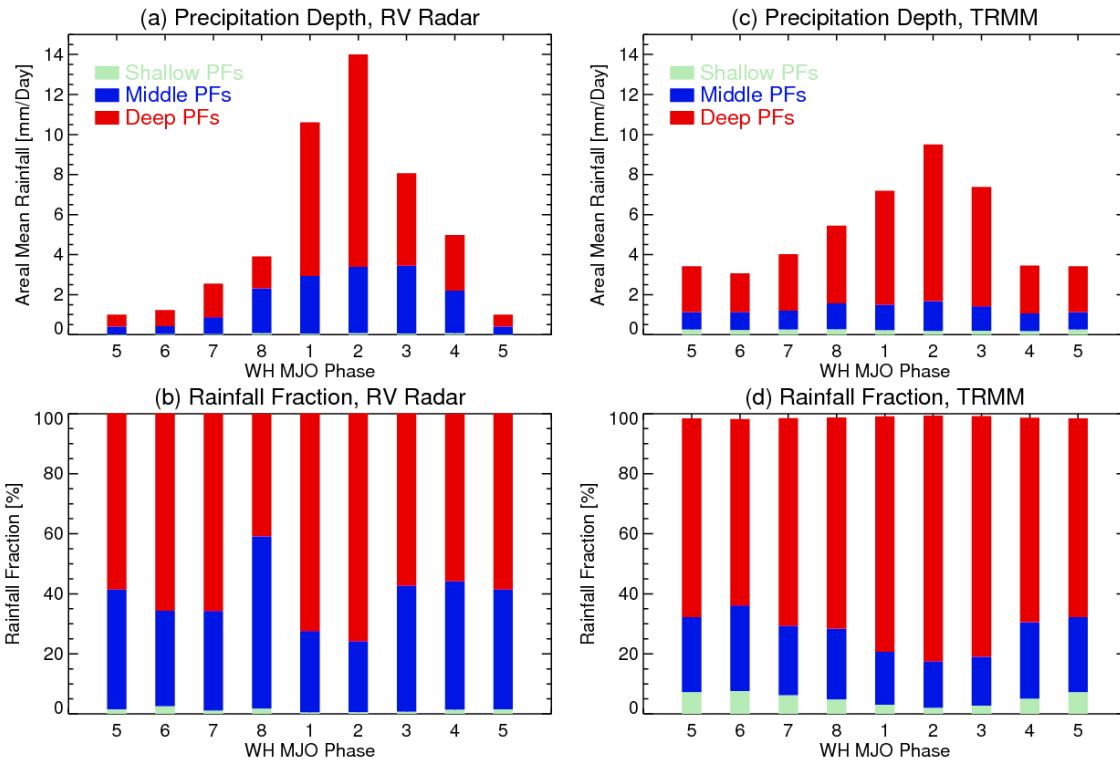


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1135 **Figure 11.** Rainfall composites as a function of WH MJO phase contributed from PFs of
1136 different precipitation morphologies. (a)-(b): Reville radar measurements, and (c)-(d): TRMM
1137 PR statistics.

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Figure 12. Same as Fig. 11, but for rainfall contributed from PFs of different 20-dBZ echo heights: shallow (< 5 km), middle (between 5-8 km), and deep (> 8 km).

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